EVIDENCE BASED OSA MANAGEMENT AND NON-PAP THERAPIES

Atul Malhotra, MD UC San Diego Professor of Medicine and Sleep Specialist

Saturday, January 19, 2019 - 8:10 a.m. - 8:50 a.m.

Atul Malhotra, MD, is a board-certified pulmonologist, intensivist and chief of Pulmonary, Critical Care and Sleep Medicine. He is active clinically in pulmonary, critical care and sleep medicine. In the sleep clinic, he provides a full spectrum of diagnostic and therapeutic services to patients with sleep-related disorders, including sleep apnea, insomnia, restless leg syndrome, narcolepsy and sleep disorders associated with medical or psychiatric conditions. He has a special interest in the treatment of sleep apnea.

Dr. Malhotra is the president of the American Thoracic Society. He has taught and presented his research on sleep-related disorders locally, regionally, nationally and internationally. He has published more than 200 original manuscripts in leading journals. He is a principal- and co-investigator on numerous projects relating to sleep apnea and serves as an ad hoc reviewer for many leading journals including the New England Journal of Medicine, Mayo Clinic Proceedings, Sleep and the Journal of American Medical Association. To view a full list of his publications, visit PubMed.

As a professor in the Department of Medicine, Dr. Malhotra is involved in training medical students, residents and fellows at UC San Diego School of Medicine.

Before joining UC San Diego Health, Dr. Malhotra practiced pulmonary, critical care and sleep medicine at Massachusetts General Hospital, Beth Israel Deaconess Medical Center and Brigham and Women's Hospital. He also served as attending physician in intensive care at King Faisal Hospital in Rwanda. He was associate professor at Harvard Medical School and medical director of the Brigham and Women's Hospital Sleep Disorders Research Program.

Dr. Malhotra completed his fellowship training in pulmonary and critical care medicine at Harvard Medical School and a residency in internal medicine at the Mayo Clinic. He completed an internship at St. Thomas Medical Center in Akron, OH and received his medical degree from the University of Alberta in Canada. Dr. Malhotra is triple board-certified in pulmonary disease, sleep medicine and critical care medicine.

Obstructive Sleep Apnea

Evidence Based Treatment

Atul Malhotra, MD

UC San Diego

Previous President ATS

Disclosures: none since 2012; Resmed provided a philanthropic gift to UCSD



Take Home Points

- 1. CPAP is treatment of choice for OSA and a defeatist attitude about CPAP is not justifiable
- 2. Alternative therapies are available which provide acceptable results for select patients
- 3. Individualized therapy may be viable in the future based on mechanism underlying OSA
- 4. Exciting time for sleep field

ORIGINAL ARTICLE

CPAP for Prevention of Cardiovascular Events in Obstructive Sleep Apnea

R. Doug McEvoy, M.D., Nick A. Antic, M.D., Ph.D., Emma Heeley, Ph.D., Yuanming Luo, M.D., Qiong Ou, M.D., Xilong Zhang, M.D., Olga Mediano, M.D., Rui Chen, M.D., Luciano F. Drager, M.D., Ph.D., Zhihong Liu, M.D., Ph.D., Guofang Chen, M.D., Baoliang Du, M.D., Nigel McArdle, M.D., Sutapa Mukherjee, M.D., Ph.D., Manjari Tripathi, M.D., Laurent Billot, M.Sc., Qiang Li, M.Biostat., Geraldo Lorenzi-Filho, M.D., Ferran Barbe, M.D., Susan Redline, M.D., M.P.H., Jiguang Wang, M.D., Ph.D., Hisatomi Arima, M.D., Ph.D., Bruce Neal, M.D., Ph.D., David P. White, M.D., Ron R. Grunstein, M.D., Ph.D., Nanshan Zhong, M.D., and Craig S. Anderson, M.D., Ph.D., for the SAVE Investigators and Coordinators*

Therapy with CPAP plus usual care, as compared with usual care alone, did not prevent cardiovascular events in patients with moderate-to-severe obstructive sleep apnea and established cardiovascular disease. (Funded by the National Health and Medical Re-

NEJM 2016









REVIEW ARTICLES	
Iournal of Clinical	10014 0040
	JCSM 2012
Sleep Medicine	
http://dx.doi.org/10.5664/xxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxx	
The Effect of Continuous Positive Airway Pressure Treatment	
an Diased Dresserves A Systematic Deview and Mate Analysis	
on Blood Pressure: A Systematic Review and Meta-Analysis	
of Randomized Controlled Trials	
Sydney B. Montesi, M.D. ^{12,} Bradley A. Edwards, Ph.D. ^{1,} Atul Malhotra, M.D. ^{1,} Jessie P. Bakker, Ph.D. ¹	
¹ Sleep Disorders Research Program, Brieham & Women's Hospital & Harvard Medical School, Boston MA:	
² Pulmonary and Critical Care Unit, Massachusetts General Hospital, Boston, MA	
Elever A. Economic to effects of DAD and evolved as (A) diversed ODD and (D) diversed DDD	
Figure 2—Porest plots comparing the effects of PAP and control on (A) diumai SBP, and (B) diumai DBP	
	Maximum effect in
Barbé 2001	
Facenda 2001	I. Younger
Barnes 2002	2 Higher ESS
Pepperin 2002 Becker 2003 Becker 2003	2. Thighter 200
Barnes 2004	3. More severe OSA
1p 2004	
Hul 2006	4. CPAP adherence
Mills 2006	
Coughing 2007	
Drager 2007	
Lam 2007	
Kohler 2008	
Alonso-Fernández 2009	
Oliveira 2009	
Durán Cantolia 2010	
Lam 2030	
Nauver 2010	
Drager 2011	
Kohler 2011	
Pooled effect	
-zommHg -10mmHg 00mmHg 10mmHg 20mmHg EAVORS PAP (No effect) EAVORS CONTROL	



Modest Blood Pressure Improvement with OSA?

- 1. If your only reason to treat OSA is BP then there is better improvement with valsartan (AJRCCM 2010)
- 2. Some patients get marked improvements in BP
- 3. BP surges are not captured with non-invasive technology and maybe substrate for plaque rupture
- 4. Treatment of OSA helps oxidative stress and other potential causal pathways.
- 5. Adherence is critical for CPAP > other therapies

Jordan et al. Lancet 2014; Bhattacharjee et al. in press; Xue et al. AJRCMB 2017



The NEW ENGLAND JOURNAL of MEDICINE								
ORIGINAL ARTICLE								
CPAP versus Oxygen in Obstructive Sleep Apnea								
Daniel J. Gottlieb, M.D., M.P.H., Naresh M. Punjabi, M.D., Ph.D., Reena Mehra, M.D., Sanjay R. Patel, M.D., Stuart F. Quan, M.D., Denise C. Babineau, Ph.D., Russell P. Tracy, Ph.D., Michael Rueschman, M.P.H.,								
Roger S. Blumen	thal, M.D.,	Eldrin F	. Lewis, I	M.D., Deepa	ak L. Bhatt,	M.D., M.P.	Н.,	
Variable	CPAP (N=90)	I Susan R NSO (N=94)	Redline. N HLSE	CPAP VS. HISE	H.	CPAP vs. NSO	_	
24-Hr mean arterial blood pressure	(11-50)	(11-24)	((1-57)	CIAL AS TIESE	NOO VA. TIEDE	Crar VS. NOO		
Baseline	89.5±8.6	88.6±10.0	87.7±9.3					
12 Wk	87.8±8.1	90.2±11.1	89.0±11.2	-2.4 (P=0.04)	0.4 (P=0.71)	-2.8 (P=0.02)		
			NEJM 2	2014				







JAMA 2012 Sep 19;308(11):1142-9. Surgical vs conventional therapy for weight loss treatment of obstructive sleep apnea: a randomized controlled trial. Dixon et al.

N= 60 with BMI 35-55 kg/m2

Conventional led to 5.1 kg vs. 27.8 kg weight loss in lap band (p<0.01)

AHI decrease was 14 vs. 25.5 p=ns

CONCLUSION:

Among a group of obese patients with OSA, the use of bariatric surgery compared with conventional weight loss therapy did not result in a statistically greater reduction in AHI despite major differences in weight loss.

Interpretation: even modest weight loss works

Gastric banding surgery versus CPAP for OSA: The ABC randomized controlled trial

Bakker et al. AJRCCM in press.

N=49 randomized LGB vs. CPAP to examine impact on effective AHI

AHI=29.5 vs. 20.0 in LGB vs. CPAP at 9mos and 20.9 vs. 21.4 at 18mos.

No difference in ESS or other important symptoms

These data suggest that OSA patients should not be encouraged to pursue LGB without concurrent CPAP

OSA Mechanisms

There are likely to be multiple mechanistic pathways which we need to understand and recognize
Patients do not all get OSA for the same reason

























Arousal Threshold

>60% of variance in arousal threshold is predicted with AHI, nadir saturation and % hypopneas
Clinical prediction of arousal threshold may help to guide response to sedative/hypnotics

Potential protective mechanism of arousal in obstructive sleep apnea

Naomi Deacon, Atul Malhotra

Editorial

The importance of arousal in obstructive sleep apnea—updates from the American Thoracic Society 2016

Atul Malhotra¹, Amy Jordan²



Adaptive Responses Using Obstructive Siee Apnea as the Paradigm several studies investigating pharmacological strategies, e.g., using sedative/hypnotic agents to increase arousal threshold, have already shown premies in a subset of

Journal Thoracic Disease 2016

Obstructive Sleep Apnea Underlying Mechanisms

- Anatomy
- Pharyngeal dilator muscle control asleep
- Arousal Threshold
- Loop gain
- Lung volume







ICSM Journal of Clinical Sleep Medicine SCIENTIFIC INVESTIGATIONS Physiology-Based Modeling May Predict Surgical Treatment Outcome for Obstructive Sleep Apnea Yanru Li, MD¹²; Jingying Ye, MD¹²; Demin Han, MD, PhD¹; Xin Cao, MD¹; Xiu Ding¹; Yuhuan Zhang¹²; Wen Xu, MD¹; Jeremy Orr, MD²; Rachel Jen, MD²; Scott Sands, PhD¹⁴; Atul Malhotra, MD²; Robert Owens, MD² High LG predicts surgical failure LG lowers after surgery suggesting is partially acquired Low AT predicts surgical failure ? Therapeutic target

Results: Although preoperative loop gain was positively correlated with postoperative apnea-hypopnea index (AHI) (P = .008) and arousal threshold was negatively correlated (P = .011), in both model 1 and 2, the only significant variable was preoperative AHI, which explained 42% of the variance in postoperative AHI. In contrast, the physiological model (model 3), which included AHI_{REM} (anatomy term), fraction of events that were hypopnea (arousal term), the ratio of AHI_{REM} and AHI_{NREM} (muscle responsiveness term), loop gain, and central/mixed apnea index (control of breathing terms), was able to explain 61% of the variance in postoperative AHI.

Conclusions: Although loop gain and arousal threshold are associated with residual AHI after surgery, only preoperative AHI was predictive using multivariate regression modeling. Instead, incorporating selected surrogates of physiological traits on the basis of OSA pathophysiology created a model that has more association with actual residual AHI.







CLINICAL RESEARCH STUDY		THE JOU ME	E AMERICAN JRNAL <i>of</i> DICINE⊗				
Exercise Is Associated with a	Redu	ced Incidence of					
Sleep-disordered Breathing							
Karim M. Awad, MD, ^a Atul Malhotra, ^a Jodi H. Barnet, ^b Stua ^a Division of Sleep Medicine, Brigham and Women's Hospital, Harv Health Sciences, University of Wisconsin School of Medicine and P Medicine, University of Arizona, Tucson.	art F. Quan, ard Medical S ublic Health-	^{a,c} Paul E. Peppard ^b School, Boston, Mass; ^b Department of -Madison; ^c Arizona Respiratory Cente	Population r, College of				
Table 2 Association of Exercise and Incidence of Mild and Moderate SDB*							
		Adjusted†					
	n	Adjusted† Odds Ratio (95% CI)	<i>P</i> Value				
Incidence of AHI ≥5/h§	n	Adjusted† Odds Ratio (95% CI)	P Value				
Incidence of AHI ≥5/h§ Baseline exercise (trend)∥	n 763	Adjusted† Odds Ratio (95% CI) 0.76 (0.62-0.94)	<i>P</i> Value				
Incidence of AHI ≥5/h§ Baseline exercise (trend)∥ Baseline exercise (≥4h/week vs no exercise)	n 763 763	Adjusted† Odds Ratio (95% CI) 0.76 (0.62-0.94) 0.59 (0.39-0.89)	<i>P</i> Value .011 .012				
Incidence of AHI ≥5/h§ Baseline exercise (trend)∥ Baseline exercise (≥4h/week vs no exercise) Incidence of AHI ≥15/h§	n 763 763	Adjusted† Odds Ratio (95% CI) 0.76 (0.62-0.94) 0.59 (0.39-0.89)	P Value .011 .012				
Incidence of AHI ≥5/h§ Baseline exercise (trend)∥ Baseline exercise (≥4h/week vs no exercise) Incidence of AHI ≥15/h§ Baseline exercise (trend)∥	n 763 763 959	Adjusted† Odds Ratio (95% CI) 0.76 (0.62-0.94) 0.59 (0.39-0.89) 0.67 (0.51-0.87)	P Value				
Incidence of AHI ≥5/h§ Baseline exercise (trend)∥ Baseline exercise (≥4h/week vs no exercise) Incidence of AHI ≥15/h§ Baseline exercise (trend)∥ >Baseline exercise (≥4 h/week vs no exercise)	n 763 763 959 959	Adjusted† Odds Ratio (95% CI) 0.76 (0.62-0.94) 0.59 (0.39-0.89) 0.67 (0.51-0.87) 0.47 (0.28-0.79)	P Value				



Acute Upper Airway Responses to Hypoglossal Nerve Stimulation during Sleep in Obstructive Sleep Apnea

AJRCCM 2012

Alan R. Schwartz¹, Maree Barnes², David Hillman³, Atul Malhotra⁴, Eric Kezirian⁵, Philip L. Smith¹, Thomas Hoegh⁶, Daniel Parrish⁶, and Peter R. Eastwood^{3,7}

p < 0.001

ON*

0

OFF

Stimulation

¹ Johns Hopkins School of Medicine, Baltimore, Maryland; ²Austin Hospital, Melbourne, Australia; ³Sir Charles Gairdner Hospital, Perth, Australia; ⁴Brigham and Womens Hospital, Boston, Massachusetts; ⁵University of California at San Francisco, San Francisco, California; ⁶Apnex Medical, St. Paul, Minnesota; and ⁷Centre for Sleep Science, School of Anatomy and Human Biology, University of Western Australia, Perth, Australia













Vision - Summary

To be able to assess an individual at risk of OSA using a blood test and/or simplified home recording or wearable technology to make diagnosis and WHY

To use this information to determine optimal therapy by assessing responsiveness to interventions and risk of particular complications.

To use real-time patient feedback technologies to optimize adherence and to guide interventions.

Exosomal Cargo Properties, Endothelial Function and Treatment of Obesity Hypoventilation Syndrome Bhattacharjee et al. JCSM 2018



-Can isolate extracellular vesicles and miRNA from human plasma from untreated OSA -take the exosomes and introduce into mice or cell culture systems

-assess impact on endothelial cells including monocyte adhesion, eNOS, tight junctions -can take exosomes after CPAP treatment of OSA and reassess

-provide direct evidence of vascular benefit of CPAP

ORIGINAL RESEARCH

AJRCMB 2017

Intermittent Hypoxia and Hypercapnia Accelerate Atherosclerosis, Partially via Trimethylamine-Oxide

Jin Xue¹, Dan Zhou¹, Orit Poulsen¹, Toshihiro Imamura¹, Yu-Hsin Hsiao¹, Travis H. Smith¹, Atul Malhotra², Pieter Dorrestein^{1,3,4}, Rob Knight^{1,4,5}, and Gabriel G. Haddad^{1,3,5,6}

Departments of ¹Pediatrics, ²Internal Medicine, and ³Neurosciences, School of Medicine, ⁴School of Pharmacy and Pharmaceutical Sciences, and ⁵Department of Computer Sciences and Engineering, School of Engineering, University of California San Diego, La Jolla, California and ⁶The Fady Children's Hospital, San Diego, California



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